

07-18-01

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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b>  <i>(to be used for all correspondence after initial filing)</i>	<b>Application Number</b>	09/853,427	
	<b>Filing Date</b>	05/10/01	
	<b>First Named Inventor</b>	MULLIN, James	
	<b>Group Art Unit</b>	1619	
	<b>Examiner Name</b>		
<b>Total Number of Pages in This Submission</b>	12	<b>Attorney Docket Number</b>	MUL01-NP001

ENCLOSURES <i>(check all that apply)</i>				
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input checked="" type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Assignment Papers <i>(for an Application)</i> <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group <i>(Appeal Notice, Brief, Reply Brief)</i> <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) <i>(please identify below):</i>		
<table border="1" style="width: 100%;"> <tr> <td style="width: 30%;">Remarks</td> <td></td> </tr> </table>			Remarks	
Remarks				

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Clifford K. Weber
Signature	<i>Clifford K. Weber</i>
Date	07/17/01

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <span style="border: 1px solid black; display: inline-block; width: 100px; height: 15px;"></span>			
Typed or printed name			
Signature		Date	

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PTO/SB/17 (11-00)

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 145.00)

**Complete if Known**

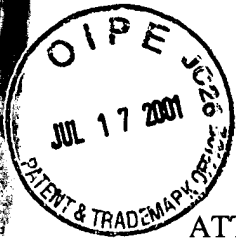
Application Number	09/853,427
Filing Date	05/10/01
First Named Inventor	MULLIN, James
Examiner Name	
Group Art Unit	1619
Attorney Docket No.	MUL01-NP001

METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																																																																	
<b>1.</b> <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number: <b>50-0491</b> Deposit Account Name: <b>Thomas Jefferson University</b> <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		<b>3. ADDITIONAL FEES</b>																																																																																																																																	
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SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Clifford K. Weber	Registration No. (Attorney/Agent)	42,215
Signature	<i>Clifford K. Weber</i>	Telephone	(215) 503-0757
		Date	07/17/01

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO.: MUL01-NP001

TITLE: Early Diagnosis of Cancerous or Precancerous Conditions by Leakage of  
Signature Peptides and Carbohydrates into the Bloodstream

INVENTOR(S): MULLIN, James and THORNTON, James

"Express Mail" Label No. ET601501203US

Date of Deposit – July 17, 2001

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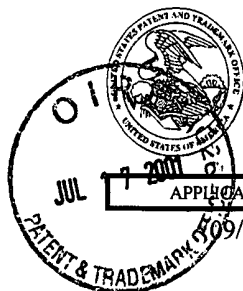
By Clifford Kent Weber

Typed Name: Clifford Kent Weber

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Commissioner of Patents & Trademarks  
Washington, DC 20231

#3



## UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/853,427	05/10/2001	James Mullin	MUL01-PCT01

24358  
CLIFFORD K. WEBER, ESQ  
OFFICE OF UNIVERSITY COUNSEL, THOMAS JEFFERSON UNI  
1020 WALNUT STREET  
SUITE 620  
PHILADELPHIA, PA 19107

CONFIRMATION NO. 6770

## FORMALITIES LETTER



\*OC000000006273454\*

Date Mailed: 07/09/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

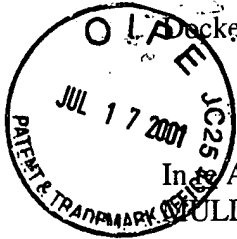
*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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Docket No. MUL01-NP001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
MULLIN, et al.

Group Art Unit: 1619  
Examiner:

Serial No.: 09/853,427

Filed: May 10, 2001

Early Diagnosis of Cancerous and Precancerous  
Conditions by Leakage of Signature Peptides and  
Carbohydrates into the Bloodstream

Assistant Commissioner for Patents  
Washington, D.C. 20231

Attn: Application Processing Division  
Customer Correction Branch

Sir:

**REQUEST FOR CORRECTED FILING RECEIPT**

Attached is a copy of the official filing receipt received from the P.T.O. in the above application for which issuance of a corrected filing receipt is respectfully requested.

There is an error with respect to the following data which is incorrectly entered:

- |   |   |
|---|---|
| 1. <input checked="" type="checkbox"/> Applicant's name | 5. <input type="checkbox"/> Serial Number               |
| 2. <input type="checkbox"/> Applicant's address         | 6. <input type="checkbox"/> Foreign/PCT Application re: |
| 3. <input type="checkbox"/> Title                       | 7. <input checked="" type="checkbox"/> Docket Number    |
| 4. <input type="checkbox"/> Filing date                 |   |

Please enter a correction to the spelling of the second inventor's name to read as follows:

Applicant(s): James **THORNTON**

Additionally, please enter a correction to the Docket Number which should read:

**MUL01-NP001**

Respectfully submitted,

Clifford Kent Weber  
Registration No. 42,215  
Attorney for Applicant

THOMAS JEFFERSON UNIVERSITY  
Office of University Counsel  
1020 Walnut Street - Suite 620  
Philadelphia, PA 19107-5587  
Tel.: (215) 503-0757  
Fax: (215) 923-3613

RECEIVED

SEP 06 2001

TECH CENTER 1600/2900

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO.: MUL01-NP001

TITLE: Early Indication of Gastrointestinal Cancer by Leakage of Salivary Amylase, Pepsin, and trefoil Peptide into the Bloodstream

INVENTOR(S): Mullin, James and Thornton, James

"Express Mail" Label No. ET601501146US

Date of Deposit - July 17, 2001

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

By Karen Shaw

Typed Name: Karen Shaw

Attn: Application Processing Division  
Customer Correction Branch  
Commissioner of Patents & Trademarks  
Washington, DC 20231